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PTO/SB/05 (1/98)

Please type a plus sign (+) inside this box ☐Approved for use through 9/30/00, OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket 460870.90018

First Inventor Thomas Keeley

Title Dual Mode Text Processing Program

Express Mail Label No. EE 294 317 461 US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311 ☒ Fee transmittal Form  
(Submit an original and a duplicate for fee processing)2 ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3 ☒ Drawing(s) (35 USC 113) [Total Sheets 3]

4. Oath or Declaration [Total Pages 2]

a. ☒ Newly executed (original or copy)b. ☐ Copy from prior Application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)i. ☐ DELETION OF INVENTOR(S)  
Signed Statement attached deleting  
inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).5 ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference herein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- ☐ Computer readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement Verifying identity of above

**ACCOMPANYING APPLICATION PARTS**8 ☐ Assignment Papers (cover sheet & documents)9 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(where there is an assignee)10 ☐ English Translation Document (if applicable)11 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations12 ☐ Preliminary Amendment13 ☒ Return receipt postcard (MPEP 503)  
(Should be specifically itemized)14 ☒ \*Small Entity Statement filed in prior application  
Statement(s) ☐ Status still proper and desired15 ☐ Certified copy of priority Document(s)  
(if foreign priority is claimed)16 ☐ Other:\* A newstatement is required to pay small entity fees, except where  
one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label)

or ☒ Correspondence address below

NAME

Keith M. Baxter, Esq.

ADDRESS

Quarles and Brady LLP

411 E. Wisconsin Avenue, Suite 2040

CITY

Milwaukee

STATE

WI

ZIP CODE

53202-4497

COUNTRY

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TELEPHONE

414 277 5719

FAX

414 271-3552

Name (Print/Type)

Keith M. Baxter

Registration No. (Attorney/Agent)

31,233

Signature

Date

May 26, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 4563023

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR**Docket Number (Optional)  
460870.90018Applicant or Patentee: Thomas M. Keeley

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: May 26, 2000Title: Dual Mode Text Processing Program

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in:

☒ the specification filed herewith with the title as listed above.

☐ the application identified above.

☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ No such person, concern, or organization exists.

☐ Each such person, concern or organization is listed below.

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Thomas M. Keeley

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Thomas M. Keeley  
Signature of Inventor

Signature of Inventor

Signature of Inventor

May 26, 2000  
Date

Date

Date

**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement  
otherwise large entity fees must be paid, See Forms PTO/SB/09-12  
See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF PAYMENT \$345.00

**Complete if Known**

Application Number	
Filing Date	May 26, 2000
First Named Inventor	Thomas M. Keeley
Group Art Unit	
Examiner Name	
Attorney Docket Number	460870.90018

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 17-0055

Deposit Account Name Quarles & Brady LLP

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	345.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$345.00)

**2. CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims 16	-20**=	X	
Independent 2	-3**=	X	
Multiple Dependent Claims			

\*\* or number previously paid, if greater, For reissues see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$).00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$).00

**SUBMITTED BY**

Typed or Printed Name

Keith M. Baxter

Registration No. (Attorney/Agent)

31,233

**Complete (if applicable)**

Telephone

414 277 5719

Signature

4563041

Date

May 26, 2000